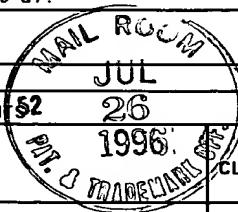


FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 1136.0020001/JAG/ARB	APPLICATION NO. 08/487,992	
		APPLICANTS Hallenbeck et al.		
		FILING DATE June 7, 1995	GROUP to be assigned	



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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL1							Yes
AM1							No
AN1							Yes
AO1							No
AP1							Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	10	Gordon, E.M. et al., Gene Therapy using retroviral Vectors, <i>Current Opinion in Biotechnology</i> 5:611-616 (1994)
<i>PT</i>	AS	10	Kaneko et al., Adenovirus-mediated Gene Therapy of Hepatocellular Carcinoma Using Cancer specific Gene Expression, <i>Cancer Research</i> 55:5283-5287 (1995)
<i>PT</i>	AT	10	Missero, C. et al., Skin-specific Expression of a Truncated E1a Oncoprotein Binding to p105-Rb Leads to Abnormal Hair Follicle Maturation Without Increased Epidermal Proliferation, <i>Journal of Cell Biology</i> 121(5):1109-1120 (1993)

EXAMINER	<i>PT</i>	DATE CONSIDERED
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 1136.0020001/JAG/ARB		APPLICATION NO. 08/487,992	
		APPLICANTS Hallenbeck et al.		MAIL ROOM 1111 52 26 1996	
		FILING DATE June 7, 1995		GROUP to be assigned	

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AM1							Yes No
AN1							Yes No
AO1							Yes No
AP1							Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>AT</i>	AR	11	Pulsieux et al., p53 as a Growth Suppressor Gene in HBV-related Hepatocellular Carcinoma Cells, <i>Oncogene</i> 8: 487-490 (1993)
<i>AT</i>	AS	11	Shimizu et al., RB Protein Status and Clinical Correlation from 171 Cell Lines Representing Lung Cancer, Extrapulmonary Small Cell Carcinoma, and Mesothelioma, <i>Oncogene</i> 9:2441-2448 (1994)
	AT	11	

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DATE CONSIDERED

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			APPLICANT Hallenbeck et al.					
			FILING DATE June 7, 1995		GROUP to be assigned			
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
AA1		5,416,017	05/16/95	Burton et al.	435	240.2	03/25/93	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
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AM1							Yes No	
AN1							Yes No	
AO1							Yes No	
AP1							Yes No	
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)								
AR	8							
<i>PT</i>	AS	8	Harris, J.D. et al., Gene Therapy for Cancer Using Tumor-Specific Prodrug Activation, <i>Gene Therapy</i> 1(3):170-175 (May, 1994)					
<i>PT</i>	AT	8	Nakamura, Y. et al., Adoptive Immunotherapy with Murine Tumor-Specific T Lymphocytes Engineered to Secrete Interleukin 2, <i>Cancer Research</i> 54, 5757-5760 (November 15, 1994)					
EXAMINER <i>PT</i>					DATE CONSIDERED <i>1-15-95</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.								

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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	AN2						Yes No
	A02						Yes No
	AP2						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>RT</i>	AR	2	Vile, R. et al., Gene Therapy and Cytokines, BACR/ACP Annual Meeting, March 27-30, 1994 (abstract) British J.Cancer (Supplement) p. 3, S7.(March 21, 1994)
<i>RT</i>	AS	2	Vile, R.G. et al.. Direct Gene Transfer to Tumour Cells in Vivo, Second Meeting of The European Working Group on Human Gene Transfer and Therapy (abstract), Gene Therapy Vol.1(Supplement 2) A23 (November 21, 1994).
	AT	2	

EXAMINER	<i>RT</i>	DATE CONSIDERED	1-15-96
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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	AN1					Yes No
	AO1					Yes No
	AP1					Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	1	Babiss, L.E. et al., Cellular Promoters Incorporated into the Adenovirus Genome, Effect of Viral DNA Replication on Endogenous and Exogenous Gene Transcription, <i>J. Mol. Biol.</i> 193:643-650 (1987)
<i>PT</i>	AS	1	Blaese, R.M. et al., In situ Delivery of Suicide Genes for Cancer Treatment, <i>European Journal of Cancer</i> 30A(8):1190-1193 (August, 1994)
<i>PT</i>	AT	1	Cornelis, J.J. et al., Transformation of Human Fibroblasts by Ionizing Radiation, a Chemical Carcinogen, or Simian Virus 40 Correlates with an Increase in Susceptibility to the Autonomous Parvoviruses H-1 Virus and Minute Virus of Mice, <i>Journal of Virology</i> 62(5):1679-1686 (1988)

EXAMINER	<i>PT</i>	DATE CONSIDERED	12/5/96
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U.S. PATENT DOCUMENTS

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	AN2					Yes No
	AO2					Yes No
	AP2					Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	2	Dillon, N., Regulating Gene Expression in Gene Therapy, <i>Trends Biotechnol.</i> 11:167-173 (May, 1993)
<i>PT</i>	AS	2	Dynan, W.S., Modularity in Promoters and Enhancers, <i>Cell</i> 58:1-4 (1989)
<i>PT</i>	AT	2	Fattaey, A. et al., Replication of Adenovirus Mutants in Human Cancer Cells, from Abstracts of papers presented at the 1994 meeting on Molecular Biology of Papovaviruses & Adenoviruses, August 17-August 21, 1994, Cold Spring Laboratory, Cold Spring Harbor, New York.

EXAMINER	<i>PT moy</i>	DATE CONSIDERED	<i>12/15/96</i>
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		APPLICANTS Hallenbeck et al.	
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AP							Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	3	Friedman, J.M. et al., Cellular Promoters Incorporated into the Adenovirus Genome: Cell Specificity of Albumin and Immunoglobulin Expression, <i>Molecular and Cellular Biology</i> 6(11):3791-3797 (1986)
	AS	3	Graham, F.L., Growth of 293 Cells in Suspension Culture, <i>J. gen. Virol.</i> 68:937-940 (1987)
	AT	3	Hitt, M.M. et al., Adenovirus E1A under the Control of Heterologous Promoters: Wide Variation in E1A Expression Levels Has Little Effect on Virus Replication, <i>Virology</i> 179:667-678 (1990)

EXAMINER	<i>PT</i>	DATE CONSIDERED
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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PJ</i>	AR	4	Huber, B.E. et al., Retroviral-mediated Gene Therapy for the Treatment of Hepatocellular Carcinoma: An Innovative Approach for Cancer Therapy, Proc. Natl. Acad. Sci. USA 88:8039-8043 (1991)
<i>PJ</i>	AS	4	Lewin, B., Oncogenic Conversion by Regulatory Changes in Transcription Factors, Cell 64:303-312 (1991)
<i>PJ</i>	AT	4	Manome, Y. et al., Enhancer Sequences of the <i>DF3</i> Gene Regulate Expression of the Herpes Simplex Virus Thymidine Kinase Gene and Confer Sensitivity of Human Breast Cancer Cells to Ganciclovir, Cancer Research 54:5408-5413 (October, 1994)

EXAMINER	<i>PJ</i> <i>now</i>	DATE CONSIDERED
		12/5/96

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL						Yes No
AM						Yes No
AN						Yes No
AO						Yes No
AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	5	Ohno, T. et al., Gene Therapy for Vascular Smooth Muscle Cell Proliferation After Arterial Injury, <i>Science</i> 265:781-784 (August, 1994)
<i>PT</i>	AS	5	Osaki, T. et al., Gene Therapy for Carcinoembryonic Antigen-producing Human Lung Cancer Cells by Cell Type-specific Expression of Herpes Simplex Virus Thymidine Kinase Gene, <i>Cancer Research</i> 54:5258-5261 (October, 1994)
<i>PT</i>	AT	5	Russell, S.J., Replicating Vectors for Gene Therapy of Cancer: Risks, Limitations and Prospects, <i>European Journal of Cancer</i> 30A(8):1165-1171 (August, 1994)

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	6	Russell, S.J. et al., Transformation-Dependent Expression of Interleukin Genes Delivered by a Recombinant Parvovirus, <i>Journal of Virology</i> 66(5):2821-2828 (1992)
<i>PT</i>	AS	6	Salmons, B. et al., Targeting of Retroviral Vectors for Gene Therapy, <i>Human Gene Therapy</i> 4:129-141 (April, 1993)
<i>PT</i>	AT	6	Schrewe, H. et al., Cloning of the Complete Gene for Carcinoembryonic Antigen: Analysis of Its Promoter Indicates a Region Conveying Cell Type-Specific Expression, <i>Molecular and Cellular Biology</i> 10(6):2738-2748 (1990)

EXAMINER	<i>PT mow</i>	DATE CONSIDERED	<i>12/5/96</i>
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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	Z	Sikora, K., Genetic Approaches to Cancer Therapy, <i>Gene Therapy</i> 1:149-151 (January, 1994)
<i>PT</i>	AS	Z	Vile, R. et al., In Vitro and in Vivo Targeting of Gene Expression to Melanoma Cells, <i>Cancer Research</i> 53:962-967 (March, 1993)
<i>PT</i>	AT	Z	Vile, R. et al., Use of Tissue-specific Expression of the Herpes Simplex Virus Thymidine Kinase Gene to Inhibit Growth of Established Murine Melanomas following Direct Intratumoral Injection of DNA, <i>Cancer Research</i> 53:3860-3864 (September, 1993)

EXAMINER	<i>P. J. Dwyer</i>	DATE CONSIDERED	<i>12/5/96</i>
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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	AM					Yes No
	AN					Yes No
	AO					Yes No
	AP					Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>PT</i>	AR	8	Yang, Y. et al., MHC Class 1-Restricted Cytotoxic T Lymphocytes to Viral Antigens Destroy Hepatocytes in Mice Infected with E1-Deleted Recombinant Adenoviruses, <i>Immunity</i> 1:433-442 (August, 1994)
	AS	8	
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EXAMINER	<i>PT</i>	DATE CONSIDERED	<i>12/5/96</i>
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		APPLICANTS Hallenbeck <i>et al.</i>		
		FILING DATE June 7, 1995	GROUP to be assigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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	AB1	5,436,146	7/25/95	Shenk <i>et al.</i>			1/21/93
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FOREIGN PATENT DOCUMENTS							
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<i>PT</i>	AM1	WO 90/05180	5/17/90	PCT			Yes No
<i>PT</i>	AN1	WO 95/11984	5/4/95	PCT			Yes No
<i>PT</i>	AO1	WO 95/12660	5/11/95	PCT			Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	2					
	AS	2					
<i>PT</i>	AT	2	Fujiwara <i>et al.</i> , A Retroviral Wild-Type p53 Expression Vector Penetrates Human Lung Cancer Spheroids and Inhibits Growth by Inducing Apoptosis, <i>Cancer Research</i> 53:4129-4133 (1993)				

EXAMINER <i>PT</i>	DATE CONSIDERED 12/13/96
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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